Cross-Scale Internal Graph Neural Network for Image Super-Resolution

Shangchen Zhou1Jiawei Zhang2Wangmeng Zuo3Chen Change Loy1*1Nanyang Technological University2 SenseTime Research3 Harbin Institute of Technology{s200094,ccloy}@ntu.edu.sgzhangjiawei@sensetime.comwmzuo@hit.edu.cnhttps://github.com/sczhou/IGNN

Abstract

Non-local self-similarity in natural images has been well studied as an effective prior in image restoration. However, for single image super-resolution (SISR), most existing deep non-local methods (e.g., non-local neural networks) only exploit similar patches within the same scale of the low-resolution (LR) input image. Consequently, the restoration is limited to using the same-scale information while neglecting potential high-resolution (HR) cues from other scales. In this paper, we explore the cross-scale patch recurrence property of a natural image, i.e., similar patches tend to recur many times across different scales. This is achieved using a novel cross-scale internal graph neural network (IGNN). Specifically, we dynamically construct a cross-scale graph by searching k-nearest neighboring patches in the downsampled LR image for each query patch in the LR image. We then obtain the corresponding k HR neighboring patches in the LR image and aggregate them adaptively in accordance to the edge label of the constructed graph. In this way, the HR information can be passed from k HR neighboring patches to the LR query patch to help it recover more detailed textures. Besides, these internal image-specific LR/HR exemplars are also significant complements to the external information learned from the training dataset. Extensive experiments demonstrate the effectiveness of IGNN against the state-of-the-art SISR methods including existing non-local networks on standard benchmarks.

1 Introduction

The goal of single image super-resolution (SISR) [9] is to recover the sharp high-resolution (HR) counterpart from its low-resolution (LR) observation. Image SR is an ill-posed problem, since there are multiple HR solutions for a LR input. To solve this inverse problem, many convolutional neural networks (CNNs) [6, 38, 17, 23, 43, 13, 5] have been proposed to capture useful priors by learning mappings between LR and HR images. While immense performance has been achieved, learning from external training data solely still falls short in recovering detailed textures for specific images, especially when the up-scaling factor is large.

Apart from exploiting external paired data, internal image-specific information [30] has also been widely studied in image restoration. Some classical non-local methods [2, 4, 25, 10] have shown the values of capturing correlation among non-local self-similar patches for improving the restoration quality. However, convolutional operations are not able to capture such patterns due to the locality of convolutional kernels. Though the receptive fields are large in the deep networks, some long-range dependencies still cannot be well maintained. Inspired by the classical non-local means method [2], non-local neural networks [37] are proposed to capture long-range dependencies for video classification. Non-local neural networks are thereafter introduced to image restoration tasks [24, 44].

^{*}Corresponding Author.

³⁴th Conference on Neural Information Processing Systems (NeurIPS 2020), Vancouver, Canada.

These methods, in general, perform selfattention weighting of full connection among positions in the features. Besides non-local neural networks, the neural nearest neighbors network [29] and graphconvolutional denoiser network [36] have been proposed to aggregate k nearest neighboring patches for image restoration. However, all these methods only exploit correlations of recurrent patches within the same scale, without harvesting any highresolution information. Different from image denoising, the aggregation of multiple similar patches at the same scale (subpixel misalignments) only improves the performance slightly for SR.

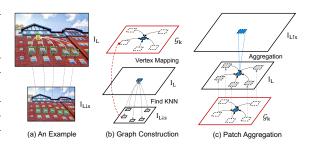


Figure 1: Example of patch recurrence across scales of a single image (a), and illustration of Graph Construction (b) and Patch Aggregation (c) in the image domain. The I_L and $I_{L\downarrow s}$ are input LR image and its *s* downsampled counterpart. \mathcal{G}_k is the constructed cross-scale graph and $I_{L\uparrow s}$ is the patch aggregated result with LR $\uparrow s$ scale.

The proposed the cross-scale internal graph neural network (IGNN) is inspired by the traditional selfexample based SR methods [7, 3, 14]. Our IGNN is based on cross-scale patch recurrence property verified statistically in [46, 9, 28] that patches in a natural image tend to recur many times across scales. An illustrative example is shown in Figure 1 (a). Given a query patch (yellow square) in the LR image I_L , many similar patches (solid-marked green squares) can be found in the downsampled image $I_{L\downarrow s}$. Thus the corresponding HR patches (dashed-marked green squares) in the LR image I_L can also be obtained. Such cross-scale patches provide an indication of what the unknown HR patches of the query patch might look like. The cross-scale patch recurrence property is previously utilized as example-based SR constraints to estimate a HR image [9, 40] or a SR kernel [28].

In this paper, we model this internal correlations between cross-scale similar patches as a graph, where every patch is a vertex and the edge is similarity-weighted connection of two vertexes from two different scales. Based on this graph structure, we then present our IGNN to process this irregular graph data and explore cross-scale recurrence property effectively. Instead of using this property as constraints [9, 28], IGNN intrinsically aggregates HR patches using the proposed graph module, which includes two operations: graph construction and patch aggregation. More specifically, as shown in Figure 1 (b)(c), we first dynamically construct a cross-scale graph \mathcal{G}_k by searching k-nearest neighboring patches in the downsampled LR image $I_{L\downarrow s}$ for each query patch in the LR image I_L . After mapping the regions of k neighbors from $I_{L\downarrow s}$ to I_L scale, the constructed cross-scale graph \mathcal{G}_k can provide k LR/HR patch pairs for each query patch. In \mathcal{G}_k , the vertexes are the patches in LR image I_L and their k HR neighboring patches and the edges are correlations of these matched LR/HR patches. Inspired by Edge-Conditioned Convolution [31], we formulate an edge-conditioned patch aggregation operation based on the graph \mathcal{G}_k . The operation aggregates k HR patches conditioned on edge labels (similarity of two matched patches). Different from previous non-local methods that explore and aggregate neighboring patches at the same scale, we search for similar patches at the downsampled LR scale but aggregate HR patches. It allows our network to perform more efficiently and effectively for SISR.

The proposed IGNN obtains k image-specific LR/HR patch correspondences as helpful complements to the external information learned from a training dataset. Instead of learning a LR-to-HR mapping only from external data as other SR networks do, the proposed IGNN makes full use of k most likely HR counterparts found from the LR image itself to recover more detailed textures. In this way, the ill-posed issue in SR can be alleviated in IGNN. We thoroughly analyze and discuss the proposed graph module via extensive ablation studies. The proposed IGNN performs favorably against state-ofthe-art CNN-based SR baselines and existing non-local neural networks, demonstrating the usefulness of cross-scale graph convolution for image super-resolution.

2 Methodology

In this section, we start by briefly reviewing the general formulation of some previous non-local methods. We then introduce the proposed cross-scale graph aggregation module (GraphAgg) based on graph message aggregation methods [8, 11, 19, 42, 31]. Built on GraphAgg module, we finally present our cross-scale internal graph neural network (IGNN).

2.1 Background of Non-local Methods for Image Restoration

Non-local aggregation strategy has been widely applied in image restoration. Under the assumption that similar patches frequently recur in a natural image, many classical methods, e.g., non-local means [2] and BM3D [4], have been proposed to aggregate similar patches for image denoising. With the development of deep neural network, the non-local neural networks [37, 24, 44] and some k-nearest neighbor based networks [21, 29, 36] are proposed for image restoration to explore this non-local self-similarity strategy. For these non-local methods that consider similar patch aggregation, the aggregation process can be generally formulated as:

$$\boldsymbol{Y}^{i} = \frac{1}{\delta_{i}(\boldsymbol{X})} \sum_{j \in \mathcal{S}_{i}} \boldsymbol{C}(\boldsymbol{X}^{i}, \boldsymbol{X}^{j}) \boldsymbol{Q}(\boldsymbol{X}^{j}), \quad \forall i,$$
(1)

where X^i and Y^i are the input and output feature patch (or element) at *i*-th location (aggregation center), and X^i is also the query item in Eq. (1). X^j is the *j*-th neighbors included in the neighboring feature patch set S_i for *i*-th location. The $Q(\cdot)$ transforms the input X to the other feature space. As for $C(\cdot, \cdot)$, it computes an aggregation weights for transformed neighbors $Q(X^j)$ and the more similar patch relative to X^i should have the larger weight. The output is finally normalized by a factor $\delta_i(X)$, i.e., $\delta_i(X) = \sum_{j \in S_i} C(X^i, X^j)$.

The above aggregation can be treated as a GNN if we treat the feature patches and weighted connections as vertices and edges respectively. The non-local neural networks [37, 24, 44] actually model a fully-connected self-similarity graph. They estimate the aggregation weights between the query item X_i and all the spatially nearby patches X_j in a $d \times d$ window (or within the whole features). To reduce the memory and computational costs introduced by the above dense connection, some k-nearest neighbor based networks, e.g., GCDN [36] and N³Net [29], only consider $k \ (k \ll d^2)$ most similar feature patches for aggregation and treat them as the neighbors in S_i for every query X^i . For all the above mentioned non-local methods, the aggregated neighboring patches are all in the same scale of the query and no HR information is incorporated, thus leading to a limited performance improvement for SISR. In [9, 46, 28], Irani *et al.* notice that patch recurrence also exists across the different scales. They explore these cross-scale recurrent LR/HR pairs as example-based constraints to recover the HR images [9, 40] or to estimate the SR kernels [28] from the LR images.

2.2 Cross-Scale Graph Aggregation Module

For the aforementioned methods [2, 4, 24, 36, 29], the patch size of the aggregated feature patches is the same as the query one. Even though it works well for image denoising, it fails to incorporate high-resolution information and only provides limited improvement for SR. Based on the patch recurrency property [46] that similar patches will recur in different scales of nature image, we propose a cross-scale internal graph neural network (IGNN) for SISR. An example of patch aggregation in image domain is shown in Figure 1. For each query patch (yellow square) in I_L , we search for the kmost similar patches (solid-marked squares) in the downsampled image $I_{L\downarrow s}$. we then aggregate their k HR corresponding patches (dashed-marked squares) in I_L .

The connections between cross-scale patches can be well constructed as a graph, where every patch is a vertex and edge is a similarity-weighted connection of two vertices from two different scales. To exploit the information of HR patches for SR, we propose a cross-scale graph aggregation module (GraphAgg) to aggregate HR patches in feature domain. As shown in Figure 2, the GraphAgg includes two operations: Graph Construction and Patch Aggregation.

Graph Construction: We first downsample the input LR image I_L by a factor of s using the widely used Bicubic operation. The downsampled image is denoted as $I_{L\downarrow s}$, where the downsampling ratio s is equal to the desired SR up-scaling factor. Thus the found k neighboring feature patches in graph \mathcal{G}_k are the same size as the desired HR feature patch. However, we find that the downsampling ratio s = 2 is better than s = 4 for up-scaling $\times 4$ since it is much more difficult to find accurate $\times 4$ neighbors directly. Thus, we search for $\times 2$ neighbors instead and obtain $\times 2$ HR features $F_{L\uparrow s}$ aggregated by GraphAgg. We then concatenate $F_{L\uparrow s}$ with features after the first $\times 2$ upsample *PixelShuffle* operation¹.

¹Two $\times 2$ upsample *PixelShuffle* operations can achieve $\times 4$ upsampling [23].

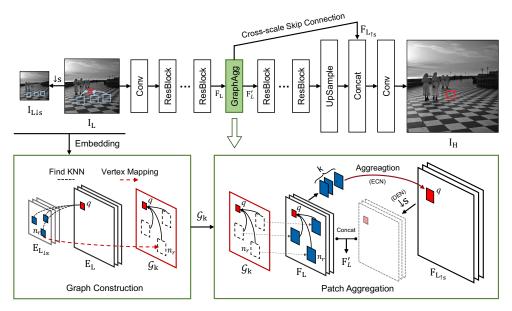


Figure 2: An illustration of the proposed the cross-scale Internal Graph Neural Network (IGNN) and the Cross-Scale Graph Aggregation module (GraphAgg). The GraphAgg includes two operations: Graph Construction and Patch Aggregation. A cross-scale graph \mathcal{G}_k is constructed by Graph Construction. Taking \mathcal{G}_k as input, the HR features $F_{L\uparrow s}$ and the enriched LR features F'_L are obtained by Patch Aggregation, which enables our network to take advantage of internal HR information to recover more details. The skip connection across different scales passes the HR features $F_{L\uparrow s}$ to enrich subsequent upsampled features.

To obtain the k neighboring feature patches, we first extract embedded features E_L and $E_{L\downarrow s}$ by the first three layers of VGG19 [32] from I_L and $I_{L\downarrow s}$, respectively. Following the notion of block matching in classical non-local methods [2, 4, 25, 10], for a $l \times l$ query feature patch $E_L^{q,l}$ in E_L , we find k $l \times l$ nearest neighboring patches $E_{L\downarrow s}^{n_r,l}$, $r = \{1, ..., k\}$ in $E_{L\downarrow s}$ according to the Euclidean distance between the query feature patch and neighboring ones. Then, we can get the $ls \times ls$ HR feature patch $E_L^{n_r,ls}$ corresponding to $E_{L\downarrow s}^{n_r,l}$ in E_L . We mark this process with a dashed red line in Figure 2, denoted as Vertex Mapping.

Consequently, a cross-scale k-nearest neighbor graph $\mathcal{G}_k(\mathcal{V}, \mathcal{E})$ is constructed. \mathcal{V} is the patch set (vertices in graph) including a LR patch set \mathcal{V}^l and a HR neighboring patch set \mathcal{V}^{ls} , where the size of \mathcal{V}^l equals to number of LR patches in E_L . Set \mathcal{E} is the correlation set (edges in graph) with size $|\mathcal{E}| = |\mathcal{V}^l| \times k$, which indicates k correlations in \mathcal{V}^{ls} for each LR patch in \mathcal{V}^l . The two vertices of each edge in this cross-scale graph \mathcal{G}_k are LR and HR feature patches, respectively. To measure the similarity of query q and the r-th neighbor n_r , we define the edge label as the difference between the query feature patch E_L and neighboring patch $E_{L\downarrow s}^{n_r,l}$, i.e., $\mathcal{D}^{n_r \to q} = E_L^{q,l} - E_{L\downarrow s}^{n_r,l}$. It will be used to estimate aggregation weights in the following Patch Aggregation operation.

We search similar patches from $E_{L\downarrow s}$ rather than E_L , hence our searching space is s^2 times smaller than previous non-local methods. Unlike the fully-connected feature graph in non-local neural networks [24], we only select k nearset HR neighbors for aggregation, which further leads to a more efficient network. Following the previous non-local methods [2, 4, 24], we also design a $d \times d$ searching window in $E_{L\downarrow s}$, which is centered with the position of the query patch in the downsampled scale. As verified statistically in [46, 9, 28], there are abundant cross-scale recurring patches in the whole single image. Our experiments show that searching for k HR parches from a window region is sufficient for the network to achieve the desired performance.

Patch Aggregation: Inspired by Edge-Conditioned Convolution (ECC) [31], we aggregate k HR neighbors in graph \mathcal{G}_k weighted on the edge labels $\mathcal{D}^{n_r \to q}$. Our Patch Aggregation reformulates the general non-local aggregation Eq. (1) as:

$$F_{L\uparrow s}^{q,ls} = \frac{1}{\delta_q(F_L)} \sum_{n_r \in \mathcal{S}_q} \exp\left(\mathcal{F}_\theta\left(\mathcal{D}^{n_r \to q}\right)\right) F_L^{n_r,ls}, \quad \forall q,$$
(2)

where $F_L^{n_r,ls}$ is the *r*-th neighboring $ls \times ls$ HR feature patch from GraphAgg module input F_L and $F_{L\uparrow s}^{q,ls}$ is the output HR feature patch at the query location. And the *patch2img* [29] operator is used to transform the output feature patches into the output feature $F_{L\uparrow s}$. We propose to use an adaptive Edge-Conditioned sub-network (ECN), i.e., $\mathcal{F}_{\theta}(\mathcal{D}^{n_r \to q})$, to estimate the aggregation weight for each neighbor according to $\mathcal{D}^{n_r \to q}$, which is the feature difference between the query patch and neighboring patch from the embedded feature E_L . We use $\exp(\cdot)$ to denote the exponential function and $\delta_q(F_L) = \sum_{n_r \in S_q} \exp(\mathcal{F}_{\theta}(\mathcal{D}^{n_r \to q}))$ to represent the normalization factor. Therefore, Eq. (1) defines an adaptive edge-conditioned aggregation utilizing the sub-network ECN. By exploiting edge labels (i.e., $\mathcal{D}^{n_r \to q}$), GraphAgg aggregates k HR feature patches in a robust and flexible manner.

To further utilize $F_{L\uparrow s}$, we use a small Downsampled-Embedding sub-network (DEN) to embed it to a feature with the same resolution as F_L and then concatenate it with F_L to get F'_L . Then F'_L is used in subsequent layers of the network. Note that the two sub-networks ECN and DEN in Patch Aggregation are both very small networks containing only three convolutional layers, respectively. Please see Figure 2 for more details.

Adaptive Patch Normalization: We observe that the obtained k HR neighboring patches have some low-frequency discrepancy, e.g., color, brightness, with the query patch. Besides the adaptive weighting by edge-conditioned aggregation, we propose an Adaptive Patch Normalization (AdaPN), which is inspired by Adaptive Instance Normalization (AdaIN) [15] for image style transfer, to align the k neighboring patches to the query one. Let us denote $F_L^{q,l,c}$ and $F_L^{n_r,ls,c}$ as the c-th channel of features of the query patch and r-th HR neighboring patch in F_L , respectively. The r-th normalized neighboring patch $F_L^{n_r,ls,c}$ by AdaPN is formulated as:

$$AdaPN(F_L^{n_r,ls,c}|F_L^{q,l,c}) = \sigma(F_L^{q,l,c}) \left(\frac{F_L^{n_r,ls,c} - \mu(F_L^{n_r,ls,c})}{\sigma(F_L^{n_r,ls,c})}\right) + \mu(F_L^{q,l,c}),$$
(3)

where σ and μ are the mean and standard deviation. By aligning the mean and variance of the each neighboring patch features with those of the query patch one, AdaPN transfers the low-frequency information of the query to the neighbors and keep their high-frequency texture information unchanged. By eliminating the discrepancy between query patch and k neighbor patches, the proposed AdaPN benefits the subsequent feature aggregation.

2.3 Cross-Scale Internal Graph Neural Network

As shown in Figure 2, we build the IGNN based on GraphAgg. After the GraphAgg module, a final HR feature $F_{L\uparrow s}$ is obtained. With a skip connection across different scales, the rich HR information in aggregated HR feature $F_{L\uparrow s}$ is passed directly from the middle to the late position in the network. This mechanism allows the HR information to help the network in generating outputs with more details. Besides, the enriched intermediate feature F'_L is obtained by concatenating the input feature F_L and the downsampled-embedded feature from $F_{L\uparrow s}$ using sub-network DEN. It is then fed into the subsequent layers of the network, enabling the network to explore more cross-scale internal information.

Compared to the previous non-local networks [21, 29, 24, 44] for image restoration that only exploit self-similarity patches with the same LR scale, the proposed IGNN exploits internal recurring patches across different scales. Benefits from the GraphAgg module, IGNN obtains k internal image-specific LR/HR feature patches as effective HR complements to the external information learned from a training dataset. Instead of learning a LR-to-HR mapping only from external data as other CNN SR networks do, IGNN takes advantage of k most likely HR counterparts to recover more detailed textures. By k LR/HR exemplars mining, the ill-posed issue of SR can be mitigated in the IGNN.

To show the effectiveness of our GraphAgg module, we choose the widely used EDSR [23] as our backbone network, which contains 32 residual blocks. The proposed GraphAgg module is only used once in IGNN and it is inserted after the 16th residual block.

In Graph Construction, we use the first three layers of the VGG19 [32] with fixed pre-trained parameters to embed image I_L and $I_{L\downarrow s}$ to E_L and $E_{L\downarrow s}$, respectively. In Graph Aggregation, both adaptive edge-conditioned network and downsample-embedding network are small network with three convolutional layers. More detailed structures are provided in the supplementary material.

3 Experiments

Datasets and Evaluation Metrics: Following [23, 12, 45, 43, 5], we use 800 high-quality (2K resolution) images from DIV2K dataset [34] as training set. We evaluate our models on five standard benchmarks: Set5 [1], Set14 [41], BSD100 [26], Urban100 [14] and Manga109 [27] in three upscaling factors: $\times 2$, $\times 3$ and $\times 4$. All the experiments are conducted with Bicubic (BI) dowmsampling degradation. The estimated high-resolution images are evaluated by PSNR and SSIM [39] on Y channel (i.e., luminance) of the transformed YCbCr space.

Training Settings: We crop the HR patches from DIV2K dataset [34] for training. Then these patches are downsampled by Bicubic to get the LR patches. For all different downsampling scales in our experiments, we fixed the size of LR patches as 60×60 . All the training patches are augmented by randomly horizontally flipping and ratation of 90° , 180° , 270° [23]. We set the minibatch size to 4 and train our model using ADAM [18] optimizer with the settings of $\beta_1 = 0.9$, $\beta_2 = 0.999$, $\epsilon = 10^{-8}$. The initial learning rate is set as 10^{-4} and then decreases to half for every 2×10^5 iterations. Training is terminated after 8×10^5 iterations. The network is trained by using ℓ_1 norm loss. The IGNN is implemented on the PyTorch framework on an NVIDIA Tesla V100 GPU.

In the Graph Aggregation module, we set query patch size $l \approx 3 \times 3$ and the number of neighbors k as 5. The size of the searching window d is 30 within the s times downsampled LR (i.e., $E_{L\downarrow s}$). Note that our GraphAgg is a plug-in module, and the backbone of our network is based on EDSR. We use the pretrained backbone model to initialize the IGNN in order to improve the training stability and save the training time.

Table 1: Quantitative results in comparison with the state-of-the-art methods. Average PSNR/SSIM for scale factor $\times 2$, $\times 3$ and $\times 4$ on benchmark datasets Set5, Set14, BSD100, Urban100, and Manga109. Best and second best performance are **highlighted** and <u>underlined</u>.

Method	Scale		et5		Set14		BSD100		Urban100		Manga109	
Wiethou	Scale	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	
VDSR [16]	×2	37.53	0.9590	33.05	0.9130	31.90	0.8960	30.77	0.9140	37.22	0.9750	
LapSRN [20]	$\times 2$	37.52	0.9591	33.08	0.9130	31.08	0.8950	30.41	0.9101	37.27	0.9740	
MemNet [33]	$\times 2$	37.78	0.9597	33.28	0.9142	32.08	0.8978	31.31	0.9195	37.72	0.9740	
DBPN [12]	$\times 2$	38.09	0.9600	33.85	0.9190	32.27	0.9000	32.55	0.9324	38.89	0.9775	
RDN [45]	$\times 2$	38.24	0.9614	34.01	0.9212	32.34	0.9017	32.89	0.9353	39.18	0.9780	
NLRN [24]	$\times 2$	38.00	0.9603	33.46	0.9159	32.19	0.8992	31.81	0.9249	-	-	
RNAN [44]	$\times 2$	38.17	0.9611	33.87	0.9207	32.32	0.9014	32.73	0.9340	39.23	0.9785	
SRFBN [22]	$\times 2$	38.11	0.9609	33.82	0.9196	32.29	0.9010	32.62	0.9328	39.08	0.9779	
OISR-RK3 [13]	$\times 2$	38.21	0.9612	33.94	0.9206	32.36	0.9019	33.03	0.9365	39.20	0.9782	
SAN [5]	$\times 2$	38.31	0.9620	34.07	0.9213	32.42	0.9028	33.10	0.9370	39.32	0.9792	
EDSR [23]	$\times 2$	38.11	0.9602	33.92	0.9195	32.32	0.9013	32.93	0.9351	39.10	0.9773	
IGNN (Ours)	$\times 2$	38.24	0.9613	<u>34.07</u>	0.9217	32.41	0.9025	<u>33.23</u>	<u>0.9383</u>	<u>39.35</u>	0.9786	
IGNN+ (Ours)	$\times 2$	38.31	<u>0.9616</u>	34.18	0.9222	32.46	0.9030	33.42	0.9396	39.54	<u>0.9790</u>	
VDSR [16]	×3	33.67	0.9210	29.78	0.8320	28.83	0.7990	27.14	0.8290	32.01	0.9340	
LapSRN [20]	×3	33.82	0.9227	29.87	0.8320	28.82	0.7980	27.07	0.8280	32.21	0.9350	
MemNet [33]	$\times 3$	34.09	0.9248	30.00	0.8350	28.96	0.8001	27.56	0.8376	32.51	0.9369	
RDN [45]	×3	34.71	0.9296	30.57	0.8468	29.26	0.8093	28.80	0.8653	34.13	0.9484	
NLRN [24]	$\times 3$	34.27	0.9266	30.16	0.8374	29.06	0.8026	27.93	0.8453	-	-	
RNAN [44]	$\times 3$	34.66	0.9290	30.52	0.8463	29.26	0.8090	28.75	0.8646	34.25	0.9483	
SRFBN [22]	$\times 3$	34.70	0.9292	30.51	0.8461	29.24	0.8084	28.73	0.8641	34.18	0.9481	
OISR-RK3 [13]	×3	34.72	0.9297	30.57	0.8470	29.29	0.8103	28.95	0.8680	34.32	0.9493	
SAN [5]	×3	<u>34.75</u>	0.9300	30.59	0.8476	29.33	0.8112	28.93	0.8671	34.30	0.9494	
EDSR [23]	×3	34.65	0.9280	30.52	0.8462	29.25	0.8093	28.80	0.8653	34.17	0.9476	
IGNN (Ours)	×3	34.72	0.9298	30.66	0.8484	29.31	0.8105	29.03	0.8696	34.39	0.9496	
IGNN+ (Ours)	×3	34.84	0.9305	30.75	0.8496	29.37	0.8115	29.20	0.8721	34.67	0.9509	
VDSR [16]	$\times 4$	31.35	0.8830	28.02	0.7680	27.29	0.0726	25.18	0.7540	28.83	0.8870	
LapSRN [20]	$\times 4$	31.54	0.8850	28.19	0.7720	27.32	0.7270	25.21	0.7560	29.09	0.8900	
MemNet [33]	$\times 4$	31.74	0.8893	28.26	0.7723	27.40	0.7281	25.50	0.7630	29.42	0.8942	
DBPN [12]	$\times 4$	32.47	0.8980	28.82	0.7860	27.72	0.7400	26.38	0.7946	30.91	0.9137	
RDN [45]	$\times 4$	32.47	0.8990	28.81	0.7871	27.72	0.7419	26.61	0.8028	31.00	0.9151	
NLRN [24]	$\times 4$	31.92	0.8916	28.36	0.7745	27.48	0.7306	25.79	0.7729	-	-	
RNAN [44]	$\times 4$	32.49	0.8982	28.83	0.7878	27.72	0.7421	26.61	0.8023	31.09	0.9149	
SRFBN [22]	$\times 4$	32.47	0.8983	28.81	0.7868	27.72	0.7409	26.60	0.8015	31.15	0.9160	
OISR-RK3 [13]	$\times 4$	32.53	0.8992	28.86	0.7878	27.75	0.7428	26.79	0.8068	31.26	0.9170	
SAN [5]	$\times 4$	32.64	<u>0.9003</u>	<u>28.92</u>	0.7888	<u>27.78</u>	0.7436	26.79	0.8068	31.18	0.9169	
EDSR [23]	$\times 4$	32.46	0.8968	28.80	0.7876	27.71	0.7420	26.64	0.8033	31.02	0.9148	
IGNN (Ours)	$\times 4$	32.57	0.8998	28.85	<u>0.7891</u>	27.77	0.7434	26.84	<u>0.8090</u>	31.28	0.9182	
IGNN+ (Ours)	$\times 4$	32.71	0.9011	28.96	0.7908	27.84	0.7447	27.04	0.8128	31.59	0.9207	

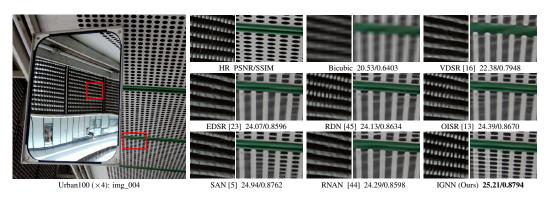


Figure 3: Visual results with Bicubic downsampling (\times 4) on "img_004" from Urban100. The proposed method recovers more details.

3.1 Comparisons with State-of-the-Art Methods

We compare our proposed method with 11 state-of-the-art methods: VDSR [16], LapSRN [20], MemNet [33], EDSR [23], DBPN [12], RDN [45], NLRN [24], RNAN [44], SRFBN [22], OISR [13], and SAN [5]. Following [23, 35, 44, 5], we also use self-ensemble strategy to further improve our IGNN and denote the self-ensembled one as IGNN+.

As shown in Table 1, the proposed IGNN outperforms existing CNN-based methods, e.g. VDSR [16], LapSRN [20], MemNet [33], EDSR [23], DBPN [12], RDN [45], SRFBN [22] and OISR [13], and existing non-local neural networks, e.g. NLRN [24] and RNAN [44]. Similar to OISR [13], IGNN is also built based on EDSR [23] but has better performance. This demonstrates the effectiveness of the proposed GraphAgg for SISR. In addition, the GraphAgg only has two very small sub-networks (ECN and DEN), each of which only contain three convolutional layers. Thus, the improvement comes from the cross-scale aggregation rather than a larger model size. As to SAN [5], it performs the best in some cases. However, it uses a very deep network (including 200 residual blocks) which is around *seven times deeper* than the proposed IGNN.

We also present a qualitative comparison of our IGNN with other state-of-the-art methods, as shown in Figure 3. The IGNN recovers more details with less blurring, especially on small recurring textures. This results demonstrate that IGNN indeed explores the rich texture from cross-scale patch searching and aggregation. Compared with other methods, IGNN obtains image-specific information from the searched k HR feature patches. Such internal cues complement external information obtained by network learning from the dataset. More visual results are provided in the supplementary material.

3.2 Analysis and Discussions

In this section, we conduct a number of comparative experiments for further analysis and discussions.

Effectiveness of Graph Aggregation Module: In order to show the effectiveness of the cross-scale aggregation intuitively, we provide a non-learning version, denoted as GraphAgg^{*}, which constructs the cross-scale graph in exactly the same way as to IGNN. Different from IGNNwhose GraphAgg aggregates extracted features in IGNN, GraphAgg^{*} directly aggregates k neighboring HR patches cropped from the input LR image by simply averaging. As shown in the first row of Figure 4, GraphAgg^{*} is capable of recovering more detailed and sharper result, compared with the Bicubic upsampled input LR image. The results intuitively show the effectiveness of cross-scale aggregation in image SR task. Even though the SR images generated from GraphAgg^{*} are promising, they still contain some artifacts in the second row of Figure 4. The proposed IGNN can remove them and restore better images with finer details by aggregating the features extracted from the network.

To further verify the effectiveness of GraphAgg that aggregation information across different scales, we replace it with the basic non-local block [37, 24] (fully-connected aggregation) and KNN (*k* neighbors aggregation) within the same scale. The results in Table 2 show that the basic non-local blocks bring limited improvements of only 0.05 dB in PSNR. Besides, our GraphAgg (cross-scale) outperforms KNN (same-scale) by a considerable margin. In contrast, IGNN shows evident improvements in performance, suggesting the importance of cross-scale aggregation for SISR.

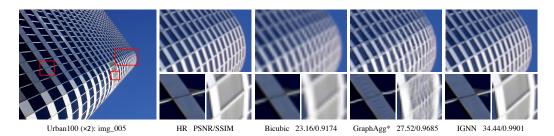


Figure 4: Visual results with Bicubic downsampling (\times 2) on "img_005" from Urban100. The GraphAgg* denotes the non-learning version of GraphAgg, which aggregates cross-scale information in the image domain. Compared with the Bicubic upsampled result, GraphAgg* recovers sharper details, suggesting the effectiveness of the proposed cross-scale aggregation. However, GraphAgg* still generates some artifacts with a direct aggregation (*patch2img*) in image domain. By aggregating in feature domain, the proposed IGNN removes the artifacts and generates better SR image.

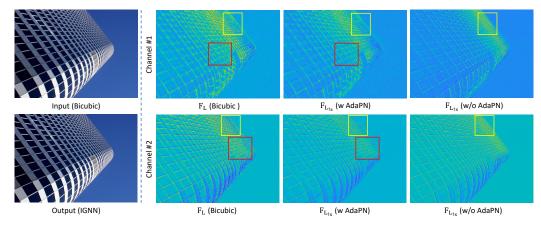


Figure 5: Feature visualization for validation the effectiveness of the GraphAgg and AdaPN. The two rows show the different feature maps of two channels. F_L (Bicubic) represents LR features F_L with Bicubic upsampling. $F_{L\uparrow s}$ (w AdaPN) is denoted as aggregated HR features by GraphAgg, and $F_{L\uparrow s}$ (w/o AdaPN) represents HR features without normalization by AdaPN. As shown in the red boxes, $F_{L\uparrow s}$ (w AdaPN) contain more rich and sharp details than F_L in both two channels. Compared with $F_{L\uparrow s}$ (w/o AdaPN), $F_{L\uparrow s}$ (w AdaPN) maintain better consistency with F_L in low-frequency discrepancy (e.g., color), as shown in the yellow boxes.

Table 2: Comparison GraphAgg with the Non-local block on Urban100 (\times 2).

Table 3: Results on Urban100 (\times 2) for dif-	
ferent positions of GraphAgg in the network.	

	Baseline	Non-local	cal KNN (same-scale) IGN			after 8th	after 16th	after 24th
PSNR	32.93	32.98	33.01	33.23	PSNR	33.17	33.23	33.19
SSIM	0.9351	0.9362	0.9364	0.9383	SSIM	0.9378	0.9383	0.9380

Feature Visualization: To validate the effectiveness of the GraphAgg and AdaPN, we also present the intermediate features from two channels in Figure 5. According to the comparison in red boxes between LR features F_L (Bicubic) with Bicubic upsampling and HR features $F_{L\uparrow s}$ (w AdaPN), It is obvious that $F_{L\uparrow s}$ contains more rich and sharp details, suggesting the effectiveness of GraphAgg in obtaining more detailed textures in the feature domain.

From the yellow boxes in the three features (in the same row) shown in Figure 5, HR features $F_{L\uparrow s}$ (w/o AdaPN) without Adaptive Patch Normalization exhibit some discrepancy in low-frequency information (e.g., color) with input LR features F_L (Bicubic). However, this discrepancy is almost eliminated in HR features $F_{L\uparrow s}$ (w AdaPN) with normalization by AdaPN. It shows that AdaPN makes the GraphAgg module more accurate and robust in patch aggregation.

Position of Graph Aggregation Module: We compare three positions in the backbone network to integrate GraphAgg, i.e., after the 8th residual block, after the 16th residual block and after the 24th residual block. As summarized in Table 3, performance improvement is observed at all positions. The largest gain is achieved by inserting GraphAgg in the middle, i.e., after the 16th residual block.

Table 4: Results on Urban100 (\times 2) for varying sizes *d* of searching window.

Table 5: Results on Urban100 (\times 2) for varying neighbor number *k*.

	d = 10	d = 20	d = 30	$d = LR_{\downarrow s}$		k = 1	k = 3	k = 5	k = 7	k = 9	k = 11
PSNR	33.14	33.18	33.23	33.24	PSNR	33.17	33.21	33.23	33.23	33.22	33.22
SSIM	0.9372	0.9380	0.9383	0.9383	SSIM	0.9377	0.9381	0.9383	0.9382	0.9382	0.9381

Table 6: Results on Urban100 (\times 2) for variants of GraphAgg. The (w/o AdaPN), (w/o ECN), and (w/o AdaPN, w/o ECN) denote removing Adaptive Patch Normalization, removing Edge-Conditioned sub-network, and removing both of them, respectively.

	w/o AdaPN	w/o ECN	w/o AdaPN and w/o ECN	IGNN
PSNR	33.18	33.12	33.09	33.23
SSIM	0.9379	0.9372	0.9369	0.9383

Settings for Graph Aggregation Module: We investigate the influence of the searching window size d and neighborhood number k in GraphAgg. Table 4 shows the results on Urban100 (×2) for different size of searching window d. As expected, the estimated SR image has better quality when d increases. We also find that d = 30 has almost the same performance relative to searching among the whole downsampled features $E_{L\downarrow s}$ ($d = LR_{\downarrow s}$). Therefore, we empirically set d = 30 (i.e., 30×30 window) as a trade-off between the computational complexity and performance.

Table 5 presents the results on Urban100 (×2) for different number of neighbors k. In general, more neighbors improve SR results since more HR information can be utilized by GraphAgg. However, the performance does not improve after k = 5 since it may be hard to find more than five useful HR neighbors for aggregation.

Effectiveness of Adaptive Patch Normalization and Edge-Conditioned sub-network: The retrieved k HR neighboring patches are sometimes mismatched with the query patch in low-frequency information, e.g., color, brightness. To solve this problem, we adopt two modules in the proposed GraphAgg, i.e., Adaptive Patch Normalization (AdaPN) and Edge-Conditioned sub-network (ECN), i.e., \mathcal{F}_{θ} ($\mathcal{D}^{n_r \to q}$). To validate the effectiveness of AdaPN and ECN, we compare GraphAgg with three variants: removing AdaPN only (w/o AdaPN), removing ECN only (w/o ECN), and removing both of them (w/o AdaPN and w/o ECN). Table 6 shows that the network performs worse when any one of them is removed. Note that we remove ECN by replacing exp (\mathcal{F}_{θ} ($\mathcal{D}^{n_r \to q}$)) in Eq. (2) by the metric of weighted Euclidean distance with Gaussian kernel, i.e., exp ($-||\mathcal{D}^{n_r \to q}||_2^2/10$). The above experimental results demonstrate that AdaPN and ECW indeed make the GraphAgg module more robust for the patch aggregation.

4 Conclusion

We present a novel notion of modelling internal correlations of cross-scale recurring patches as a graph, and then propose a graph network IGNN that explores this internal recurrence property effectively. IGNN obtains rich textures from the k HR counterparts found from LR features itself to alleviate the ill-posed nature in SISR and recover more detailed textures. The paper has shown the effectiveness of the cross-scale graph aggregation, which passes HR information from HR neighboring patches to LR ones. Extensive results over benchmarks demonstrate the effectiveness of the proposed IGNN against state-of-the-art SISR methods.

Acknowledgments

This research is conducted in collaboration with SenseTime and supported by the Singapore Government through the Industry Alignment Fund-Industry Collaboration Projects Grant. It is also partially supported by Singapore MOE AcRF Tier 1 (2018-T1-002-056) and NTU SUG.

Broader Impact

This paper is an exploratory work on single image super-resolution using graph convolutional network. The main impacts of this work are academia-oriented, it is expected to promote the research progress of image super-resolution and motivate novel methods in the related fields.

As for future societal influence, this work will largely improve the quality of pictures taken by cameras or other mobile devices such as smartphones. In addition, it enhances public safety monitored by vision systems via the higher resolution of the monitoring view. Though there might be some potential risks, e.g., criminals might use this technology for peeping into peoples' personal privacy. It is worth noticing that the positive social effects of this technology far exceeds the potential problems. We call on people to use this technology and its derivative applications without compromising the personal interest of the general public.

References

- [1] Marco Bevilacqua, Aline Roumy, Christine Guillemot, and Marie Line Alberi-Morel. Low-complexity single-image super-resolution based on nonnegative neighbor embedding. In *BMVC*, 2012.
- [2] Antoni Buades, Bartomeu Coll, and J-M Morel. A non-local algorithm for image denoising. In *CVPR*, 2005.
- [3] Hong Chang, Dit-Yan Yeung, and Yimin Xiong. Super-resolution through neighbor embedding. In *CVPR*, 2004.
- [4] Kostadin Dabov, Alessandro Foi, Vladimir Katkovnik, and Karen Egiazarian. Image denoising by sparse 3-d transform-domain collaborative filtering. *TIP*, 16(8):2080–2095, 2007.
- [5] Tao Dai, Jianrui Cai, Yongbing Zhang, Shu-Tao Xia, and Lei Zhang. Second-order attention network for single image super-resolution. In CVPR, 2019.
- [6] Chao Dong, Chen Change Loy, Kaiming He, and Xiaoou Tang. Image super-resolution using deep convolutional networks. *TPAMI*, 38(2):295–307, 2015.
- [7] William T Freeman, Thouis R Jones, and Egon C Pasztor. Example-based super-resolution. *CG&A*, 22(2):56–65, 2002.
- [8] Justin Gilmer, Samuel S Schoenholz, Patrick F Riley, Oriol Vinyals, and George E Dahl. Neural message passing for quantum chemistry. In *ICML*, 2017.
- [9] Daniel Glasner, Shai Bagon, and Michal Irani. Super-resolution from a single image. In ICCV, 2009.
- [10] Shuhang Gu, Lei Zhang, Wangmeng Zuo, and Xiangchu Feng. Weighted nuclear norm minimization with application to image denoising. In *CVPR*, 2014.
- [11] Will Hamilton, Zhitao Ying, and Jure Leskovec. Inductive representation learning on large graphs. In *NeurIPS*, 2017.
- [12] Muhammad Haris, Gregory Shakhnarovich, and Norimichi Ukita. Deep back-projection networks for super-resolution. In *CVPR*, 2018.
- [13] Xiangyu He, Zitao Mo, Peisong Wang, Yang Liu, Mingyuan Yang, and Jian Cheng. Ode-inspired network design for single image super-resolution. In CVPR, 2019.
- [14] Jia-Bin Huang, Abhishek Singh, and Narendra Ahuja. Single image super-resolution from transformed self-exemplars. In *CVPR*, 2015.
- [15] Xun Huang and Serge Belongie. Arbitrary style transfer in real-time with adaptive instance normalization. In *ICCV*, 2017.
- [16] Jiwon Kim, Jung Kwon Lee, and Kyoung Mu Lee. Accurate image super-resolution using very deep convolutional networks. In CVPR, 2016.
- [17] Jiwon Kim, Jung Kwon Lee, and Kyoung Mu Lee. Deeply-recursive convolutional network for image super-resolution. In *CVPR*, 2016.
- [18] Diederik P Kingma and Jimmy Ba. Adam: A method for stochastic optimization. In ICLR, 2015.
- [19] Thomas N Kipf and Max Welling. Semi-supervised classification with graph convolutional networks. In *ICLR*, 2017.
- [20] Wei-Sheng Lai, Jia-Bin Huang, Narendra Ahuja, and Ming-Hsuan Yang. Deep laplacian pyramid networks for fast and accurate super-resolution. In CVPR, 2017.
- [21] Stamatios Lefkimmiatis. Universal denoising networks: a novel cnn architecture for image denoising. In *CVPR*, 2018.
- [22] Zhen Li, Jinglei Yang, Zheng Liu, Xiaomin Yang, Gwanggil Jeon, and Wei Wu. Feedback network for image super-resolution. In *CVPR*, 2019.

- [23] Bee Lim, Sanghyun Son, Heewon Kim, Seungjun Nah, and Kyoung Mu Lee. Enhanced deep residual networks for single image super-resolution. In CVPRW, 2017.
- [24] Ding Liu, Bihan Wen, Yuchen Fan, Chen Change Loy, and Thomas S Huang. Non-local recurrent network for image restoration. In *NeurIPS*, 2018.
- [25] Julien Mairal, Francis Bach, Jean Ponce, Guillermo Sapiro, and Andrew Zisserman. Non-local sparse models for image restoration. In *ICCV*, 2009.
- [26] David Martin, Charless Fowlkes, Doron Tal, and Jitendra Malik. A database of human segmented natural images and its application to evaluating segmentation algorithms and measuring ecological statistics. In *ICCV*, 2001.
- [27] Yusuke Matsui, Kota Ito, Yuji Aramaki, Azuma Fujimoto, Toru Ogawa, Toshihiko Yamasaki, and Kiyoharu Aizawa. Sketch-based manga retrieval using manga109 dataset. MTA, 76(20):21811–21838, 2017.
- [28] Tomer Michaeli and Michal Irani. Nonparametric blind super-resolution. In ICCV, 2013.
- [29] Tobias Plötz and Stefan Roth. Neural nearest neighbors networks. In NeurIPS, 2018.
- [30] Assaf Shocher, Nadav Cohen, and Michal Irani. "zero-shot" super-resolution using deep internal learning. In CVPR, 2018.
- [31] Martin Simonovsky and Nikos Komodakis. Dynamic edge-conditioned filters in convolutional neural networks on graphs. In *CVPR*, 2017.
- [32] Karen Simonyan and Andrew Zisserman. Very deep convolutional networks for large-scale image recognition. arXiv preprint arXiv:1409.1556, 2014.
- [33] Ying Tai, Jian Yang, Xiaoming Liu, and Chunyan Xu. Memnet: A persistent memory network for image restoration. In *ICCV*, 2017.
- [34] Radu Timofte, Eirikur Agustsson, Luc Van Gool, Ming-Hsuan Yang, and Lei Zhang. Ntire 2017 challenge on single image super-resolution: Methods and results. In CVPRW, 2017.
- [35] Radu Timofte, Rasmus Rothe, and Luc Van Gool. Seven ways to improve example-based single image super resolution. In CVPR, 2016.
- [36] Diego Valsesia, Giulia Fracastoro, and Enrico Magli. Deep graph-convolutional image denoising. arXiv preprint arXiv:1907.08448, 2019.
- [37] Xiaolong Wang, Ross Girshick, Abhinav Gupta, and Kaiming He. Non-local neural networks. In CVPR, 2018.
- [38] Zhaowen Wang, Ding Liu, Jianchao Yang, Wei Han, and Thomas Huang. Deep networks for image super-resolution with sparse prior. In *ICCV*, 2015.
- [39] Zhou Wang, Alan C Bovik, Hamid R Sheikh, and Eero P Simoncelli. Image quality assessment: from error visibility to structural similarity. *TIP*, 13(4):600–612, 2004.
- [40] Jianchao Yang, Zhe Lin, and Scott Cohen. Fast image super-resolution based on in-place example regression. In CVPR, 2013.
- [41] Roman Zeyde, Michael Elad, and Matan Protter. On single image scale-up using sparse-representations. In *ICCS*, 2010.
- [42] Li Zhang, Dan Xu, Anurag Arnab, and Philip HS Torr. Dynamic graph message passing networks. In *NeurIPS*, 2019.
- [43] Yulun Zhang, Kunpeng Li, Kai Li, Lichen Wang, Bineng Zhong, and Yun Fu. Image super-resolution using very deep residual channel attention networks. In ECCV, 2018.
- [44] Yulun Zhang, Kunpeng Li, Kai Li, Bineng Zhong, and Yun Fu. Residual non-local attention networks for image restoration. In *ICLR*, 2019.
- [45] Yulun Zhang, Yapeng Tian, Yu Kong, Bineng Zhong, and Yun Fu. Residual dense network for image super-resolution. In *CVPR*, 2018.
- [46] Maria Zontak and Michal Irani. Internal statistics of a single natural image. In CVPR, 2011.