

1 Author Feedback for paper “Partial Optimal Transport with applications on Positive-Unlabeled Learning”

2 We thank the reviewers for their thoughtful feedbacks. We are encouraged that reviewers found the algorithm to solve
3 the partial-OT problem new (R1, R2, R4) and theoretically sound (R1, R3). Moreover, R3, R4 acknowledge that one
4 contribution of the paper is the use of OT for PU learning problems, with ok performances (R1, R4) and with the first
5 application of domain adaptation for PU (R3). We are glad that R2, R4 found the paper pedagogical, well written and
6 that it clearly explains the contributions (R1). We finally thank the reviewers for their careful reading and we will
7 perform a careful proof-reading to fix all mentioned typos. We now review the different comments raised.

8 Other applications of exact partial-OT

9 One primary concern of R2 and R4 is the lack of consideration of other applications such as color transfer, point clouds
10 registration or deep learning that have already been tackled in the literature (e.g. <https://hal.archives-ouvertes.fr/hal-02111220>, <https://arxiv.org/abs/1607.05816>). We agree. We were constrained by space and we hence put
11 the emphasis on PU learning, which is a novel application for OT. This also allows us to introduce domain adaptation
12 for PU learning, which is, to our knowledge, a new task. Regarding deep learning models, one could consider using
13 partial-OT to detect out-of-distributions examples such as in <https://arxiv.org/abs/1912.12510> or in open set
14 domain adaptation (<https://arxiv.org/abs/1804.10427>). We propose to clarify this in the paper.

16 Details about the running time

17 R1 and R3 mention that time complexity is not discussed. It is true and we propose to include the running times in the
18 supplementary and to discuss the complexity of the algorithms (cubic for partial-W, several iterations of partial-W for
19 partial-GW). For information, considering all experiments, the maximum time for running one run of partial-W is less
20 than 1.5s and 5.5s for partial-GW.

21 Comments regarding the application of PU learning with partial-OT We now discuss the comments of R3.

22 • #1 *The mass π (positives) from the unlabeled sample gets transported to only mass π of the labeled sample and #5*
23 *The text following equation 6, including the introduction of α , regularization, is quite challenging to follow.* There is
24 indeed a typo in line 175: $q_j = 1/m$ should read $q_j = \pi/m$ (as it is correctly formulated in line 182) – many thanks for
25 spotting it! As such, it allows verifying proposition 2, and when choosing $\alpha = 0$, all the labeled samples are transported
26 to a mass π of the unlabeled sample. We believe that this misspecification of q_j leads to the difficulty in reading the text
27 and we propose to add in line 179 a discussion about $\alpha = 0$.

28 • #2 *An important PU method is not included in the comparisons [3] and #3 Some experiments on the sensitivity*
29 *to class prior should be conducted.* Since many of the estimation methods of the prior are biased, we agree that it
30 is important to evaluate the influence of the class prior π in a biased setting. Note that, as stated in the conclusion,
31 “we plan to derive an extension of this work to PU learning in which the proportion of positives in the dataset will
32 be estimated in a unified optimal transport formulation” but leave it for future work. We then run some additional
33 experiments by varying class prior as in [3] for the MNIST dataset and propose to add the results in the final version of
34 the paper. Note that the method we propose is *transductive*, hence it leads to reduced performances (less than 1 point
35 for all experiments, using $\pi' = 0.8\pi, 0.9\pi, \dots, 1.2\pi$). It is true that we do not compare ourselves to [3]. Instead, we
36 prefer relying on Kato et al. (2019), which is a more recent method and which itself builds upon [3]. As such, we
37 strongly believe that the conclusions relative to Kato et al. will resemble the ones that could get by comparing to [3].
38 Nevertheless, if requested, the comparison could be added in the final version if the paper is accepted.

39 • #4 *What kind of bias does the proposed method handle? and #6 Would the partial-GW method still work if the*
40 *negatives were obtained by adding a constant to the positives?* Actually, GW is rotation and translation invariant
41 (or more generally invariant to *isometries*). This is a desirable property, as we would like to match data with similar
42 geometry when working on different or unregistered spaces, but in the particular toy case mentioned in #6, this
43 behavior will indeed not allow the detection of the positives among the unlabeled. In the colored MNIST example,
44 partial-GW identifies the unlabeled positives as they have the same “geometry” than the labeled dataset, even if the
45 proportion of green samples in the unlabeled is the same than the prior of the positives (one could expect the method to
46 wrongly label the green ones inside **Unl** as positives). We propose to clarify the invariances of GW in the final version
47 of the paper, then the type of bias that can be handled, together with a deeper discussion of GW as suggested by R2.

48 On the notations and clarity

49 R3 states that *Authors should spend effort in improving notations and the paper will be easier to read if words are used*
50 *to express the meaning of formulas.* We faced two difficulties: i) space limitation, ii) applying OT for PU learning, two
51 communities which have different notations. As such, we choose to stick to the usual notations of OT (in which p
52 and q usually denote the distributions of n and m bins with the same number of bins as points etc.) and we adjust the
53 ones related to the PU learning, leading to potentially disturbing notations (see comment of R2 about p). We make
54 our best to keep the notations consistent, and we try to avoid misunderstandings as much as possible (e.g. by stating
55 **Pos** the labeled positive points rather than **P**). We believe this is not an obstacle to the paper comprehension. To ease
56 the reading, we propose to add more details about the most important equations, and emphasize on the text when a
57 careful reading must be done (e.g. when the source data p correspond to the unlabeled set). Comment #1 of R1: line
58 103 indicates how to set the mass of the dummy point. Regarding the tuning of ξ , Proposition 1 illustrates that this
59 parameter does not influence the solution of Partial-W. In practice we set it as zero as stated in Line 202.